

CLIENT FOCUSED REFORMS (CFRS): EVERYTHING YOU NEED TO KNOW ABOUT THE NEW CONFLICTS OF INTEREST REQUIREMENTS (BUT WERE AFRAID TO ASK)

Posted on April 12, 2021

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May 6, 2021

2:00 pm - 3:00 pm ET

The June 30, 2021 deadline for registered firms and their representatives to comply with the first phase of the CFRs relating to conflicts of interest is fast approaching.

The new conflicts of interest requirements will require registrants to review and, where necessary, implement changes to their existing conflicts of interest policies and procedures. They may also require certain changes to the existing businesses or business relationships of registrants if these activities constitute material conflicts of interest including, but not limited to, referral arrangements and trading in, recommending or distributing proprietary products. Registrants who have not yet started assessing the impact of these new requirements should start the process now and attend this webinar to gather additional information.

Join McMillan on **Thursday, May 6, 2021** for a panel discussion on practical questions and issues related to the new requirements and how they may impact your organization.

Topics include

- Overview of the new conflicts of interest requirements
- Key issues and practical tips to assist with compliance
- FAQ's

CPD

This program is eligible for up to 1 Substantive CPD Hour in Ontario, 1 CPD Hour in BC and 1 CLE Hour in Québec.

Panel Speakers

[Jennie Baek](#)

The logo for mcmillan, featuring the word "mcmillan" in a lowercase, sans-serif font. The "m" and "c" are in a dark red color, while the "m", "i", "l", "l", "a", and "n" are in a light blue color. The logo is positioned in the top left corner of a banner image that shows a low-angle view of a modern glass skyscraper against a clear sky.

mcmillan

Partner, Investment Funds and Asset Management

Leila Rafi

Partner, Investment Funds and Asset Management

Moderator

Michael Burns

Partner, Investment Funds and Asset Management

You can now view the recording of the session [here](#).

For more information, please contact events@mcmillan.ca.