

MARK SKWAROK*

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Mark Skwarok has more than 25 years' experience as a litigation lawyer with a focus on securities disputes. He has significant experience in white collar defence and fraud as well as administrative and public law, and is a leading litigator in the firm's Capital Markets & Securities Group. He is consistently recognized as a leading lawyer in corporate and commercial litigation and securities law by *Best Lawyers in Canada*.

In addition to acting on behalf of clients who are subject to proceedings initiated by the regulators, Mark has represented the TSX Venture Exchange, the TSX, the Investment Dealers Association, the U.S. Securities Exchange Commission and the British Columbia Securities Commission. Mark is also experienced in advising clients in the gaming industry.

Mark has in-depth understanding of the senior securities regulator's viewpoint, a perspective gained during his five years as chief counsel of the British Columbia Securities Commission.

Appearing at every level of court in British Columbia, Mark also represents clients before the Alberta Court of Appeal and the Supreme Court of Canada, and acts in administrative proceedings before the British Columbia Securities Commission, the Alberta Securities Commission and other administrative bodies.

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Expertise: Administrative & Public Law, Capital Markets & Securities, Corporate Commercial Litigation, Gaming, White Collar Defence, Fraud & Investigations

Location: Vancouver

Phone: 604.691.7435

Position/Title: Counsel, Capital Markets & Securities

Directorships & Affiliations:

- Vancouver Bar Association
- Litigators advisory committee to the British Columbia Securities Commission

- Formerly, Civil Remedies Committee of the British Columbia Securities Commission
- Formerly, Justice Institute Advisory Committee on Investor Education
- Past member of the BC Law Society Practice Standards Committee, served for 10 years

Education & Admissions:

Degree: Called to the British Columbia bar

Year: 1981

Degree: Master of Laws

University: University of Michigan

Year: 1982

Degree: LLB

University: University of British Columbia

Year: 1980

Degree: BA

University: University of British Columbia

Year: 1975

Rankings & Recognition:

- Recognized by *Best Lawyers* in Canada (2022) as a leading lawyer in the areas of Corporate and Commercial Litigation and Securities Law
- Recognized by the *Best Lawyers* in Canada (2021) as a leading lawyer in the areas of Corporate and Commercial Litigation and Securities Law
- Listed in *Best Lawyers* in Canada 2006 - 2020 as a leading lawyer in Corporate & Commercial Litigation and Securities Law.
- Listed in Canadian Legal Lexpert Directory, a directory of leading practitioners and law firms in Canada, from 2005 - 2016 as a leader in Securities Litigation
- Received a "BV" Peer Review Ranking from Martindale-Hubbell

Representative Matters: Mark has a wealth of experience in the prosecution and defence of numerous

complex hearings before all levels of the court. Some examples include:

- Appeared before the British Columbia Securities Commission on behalf of Eron Mortgage Corporation and Pacific International Securities Inc.
- Acted as counsel in many appeals before the B.C. Court of Appeal and the Supreme Court of Canada
- Acted on several of the leading court cases dealing with the jurisdiction and powers of the Securities Commission, the application of the Charter of Rights and Freedoms in the context of securities investigations and hearings, and procedural fairness issues such as the standards of pre-hearing disclosure
- Acted for the B.C. Government in litigation in the B.C. Supreme Court and the Court of Appeal in a trust law case securing funds for Westar employees following the company's bankruptcy

Teaching Engagements: Mark has taught various training programs for enforcement investigators and lawyers, such as:

- Instructor, Securities Investigator Training course, Barrie, Ontario - training of RCMP and FBI officials, and national securities commission investigators on securities fraud and stock market manipulation
- Instructor, Securities Compliance and Supervision Training Program provided by the Justice Institute of B.C.
- Guest lecturer, the University of British Columbia both in securities law and legal ethics courses
- Presenter at various other courses and seminars on securities regulation

Industries: Gaming

Practices: Administrative & Public Law, Capital Markets & Securities, Corporate Commercial Litigation, White Collar Defence, Fraud & Investigations