

MICHAEL SHANNON*



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Michael (Mike) Shannon is a highly respected business lawyer with extensive experience in corporate law as well as capital markets and securities law.

A large part of Mike's practice is focused on providing Canadian and US securities advice to companies listed in one or both countries. He has significant expertise advising clients in the technology, mining, asset management and cannabis sectors.

Mike assists companies with their formation, structuring, financing, listing, disclosure requirements, corporate governance, strategic alliances, mergers and acquisitions, cross-border transactions and migrations. He advises on ongoing reporting obligations under the *Securities Exchange Act of 1934* and registration of securities under the *Securities Act of 1933*.

In addition to his work with publicly listed companies, Mike advises private companies on general corporate

and securities matters. He also assists clients with registration and compliance under National Instrument 31-103.

Email: michael.shannon@mcmillan.ca

Expertise: Cannabis, Capital Markets & Securities, Investment Funds & Asset Management, Mergers & Acquisitions, Mining

Location: Vancouver

Phone: 604.893.7638

Position/Title: Partner, Capital Markets & Securities

Directorships & Affiliations:

- Canadian Bar Association

Education & Admissions:

Degree: Called to the British Columbia bar

Year: 2000

Degree: Called to the Alberta bar

Year: 1999

Degree: Called to the Kansas bar

Year: 1998

Degree: LL.B.

University: University of Calgary, School of Law

Year: 1998

Degree: J.D.

University: Washburn University, School of Law

Year: 1998

Degree: BBA

University: Simon Fraser University

Year: 1992

Speaking Engagements:

- Selected U.S. Securities Law Issues Vancouver, British Columbia
- June 2016

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https://mcmillan.ca/wp-content/uploads/2020/07/196969_Selected_U_S_Securities_Law_Issues_June_2016.pdf

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- Overview of Mortgage Investment Corporations, Registration Issues and Capital Raising Exemptions, 7th Annual Residential Real Estate Conference , Vancouver
 - December 2014

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- Overview of Registration Requirements for Firms and Individuals PMAC Vancouver Compliance Forum, Vancouver, British Columbia
 - May 2013

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- Registration for Firms and Individuals Panelist, PMAC Vancouver Compliance Forum
 - May 2013

Industries: Cannabis, Investment Funds & Asset Management, Mining

Practices: Capital Markets & Securities, Mergers & Acquisitions